

## FACTS

## WHAT DOES FINANCIAL ADVISOR ON CALL, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>● Social Security Number and Driver's License Number</li> <li>● Passports and Government Issued IDs</li> <li>● Financial Information and Investment Objectives</li> <li>● Account Balance and Transactional Activity or History</li> <li>● Utility Invoices</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>
How?	All financial companies may need to share clients' personal information to run their everyday business. In the section below, we list the reasons why certain financial companies may share their clients' personal information; the reasons Financial Advisor On Call, LLC chooses not to share; and whether you can limit this sharing if Financial Advisor On Call, LLC were to share.

Reasons we can share your personal information	Does Financial Advisor On Call share?	Can you limit this sharing?
<b>For our everyday business purposes:</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	No	Not Shared
<b>For our marketing purposes:</b> to offer our products and services to you	No	Not Shared
<b>For joint marketing with other financial companies</b>	No	Not Shared
<b>For our affiliates' everyday business purposes:</b> information about your transactions and experiences	No	Not Shared
<b>For our affiliates' everyday business purposes:</b> information about your creditworthiness	No	Not Shared
<b>For our affiliates to market to you</b>	No	Not Shared
<b>For nonaffiliates to market to you</b>	No	Not Shared

Questions?	Call (833) 326-6225 or <a href="mailto:members@faoncall.com">members@faoncall.com</a>
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Who we are?	
Who is providing this notice?	FINANCIAL ADVISOR ON CALL, LLC
What we do.	
How does Financial Advisor On Call, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Financial Advisor On Call, LLC collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>● seek investment advice or open accounts</li> <li>● make securities trades or make withdrawals and deposits</li> <li>● enter into an investment advisory agreement</li> </ul>
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>● sharing for affiliates' everyday business purposes information about your creditworthiness</li> <li>● affiliates from using your information to market to you</li> <li>● sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing. <b>Note that Financial Advisor On Call does not share any personal information.</b></p>
Definitions	
Affiliates	Companies related by common ownership or control; can be financial and nonfinancial companies: <ul style="list-style-type: none"> <li>● FAOC, LLC</li> </ul>
Nonaffiliates	Companies not related by common ownership or control; can be financial and nonfinancial companies. <p><b>Financial Advisor On Call, LLC does not share personal information with nonaffiliates; it does not share personal information with nonaffiliates to market to you.</b></p>
Joint Marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <p><b>Financial Advisor On Call, LLC does not have any joint marketing agreements with any non-affiliated financial companies.</b></p>

## Other Important Information

For clients residing in CA and NY, we limit sharing of nonpublic personal information to the extent required by law.

### SMS Messages and Data Privacy

**Data Sharing Policy:** We are dedicated to protecting your privacy. Please note that no mobile opt-in data will be shared with any third parties.

By opting in, you consent to receive periodic SMS messages from Financial Advisor on Call, which may include account updates and two-factor authentication (2FA). Message frequency may vary, standard message and data rates may apply.

- **Opt-In Message:** "By opting in, you agree to receive messages from Financial Advisor on Call. Message frequency may vary. Msg & Data Rates May Apply."
- **Opt-Out Message:** "You have successfully unsubscribed from Financial Advisor on Call. No further messages will be sent."
- **HELP Message:** "For assistance from Financial Advisor on Call, please reply 'HELP' to 305-777-0696 or contact us at [contact@faoncall.com](mailto:contact@faoncall.com)."

We will not share your opt-in to an SMS campaign with any third party for purposes unrelated to providing you with the services of that campaign. We may share your Personal Data, including your SMS opt-in or consent status, with third parties that help us provide our messaging services, including but not limited to platform providers, phone companies, and any other vendors who assist us in the delivery of text messages

All of the above categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties.